Conservation-Based Breeding Program for Indigenous Sheep Breeds in Ethiopia: The Way Forward

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Running title: Design conservation-based breeding schemes for smallholder farming systems

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Summary
Sheep have contributed considerable portion to the integrated farming systems in Ethiopia. This paper focus on the role of characterizing indigenous sheep breed, including their threat status in genetic improvement and development of conservation programs. This paper review briefly sheep genetic resources, description of farming systems, breeding objectives of the community, evaluation of growth and reproductive performance studies. Information obtained from past characterization studies can assist in designing and developing genetic improvement programs for sustainable utilization of the most promising and widely used breeds in the country. The most crucial and urgently needed research activity is on development of breeding programs suitable to the conditions of smallholder farmers, particularly regarding the practical implementation of breeding programs.

Key Words: Conservation, Sheep, Smallholder farmer, Village breeding

1) Introduction
The issue of loss of diversity and conservation of farm animal genetic resources has gained momentum in the last few decades. Correspondingly, there have been tremendous efforts globally to study genetic diversity in livestock species addressing the needs of both development and conservation of animal genetic resources in the different parts of the world. Livestock are essential components of Ethiopian agriculture and the country is reported to have the largest livestock resource than any other African country. Ethiopia is recognized to possess one of the most diversified livestock populations in Africa. The population of small ruminants owned by the country is estimated to be 56.6 million heads of small ruminants [1]. Of this number, the sheep population accounts for about 28 million heads. This livestock sector has been contributing considerable portion to the economy of the country, and still promising to play significant role in the economic development of the country. It is eminent that sheep products in the form of meat could play a pivotal role as a good source of animal protein since they have short generation interval and affordable by resource poor farmers [2] [3]. The sheep in the country is produced under an extensive low input subsistence system. Similar to other tropical countries, studies noted that productivity of indigenous sheep in terms of meat has been limited by poor genetic potential [2, 5, 6]. Among the various factors, absence of planned genetic improvement programs for local breeds is one of the causes for losing their competitive advantage, especially where production systems or external conditions are subject to change [7]. Moreover, lack of adequate information on indigenous livestock genetic resources has been recognized to be a serious constraint to effective prioritization and planning of sustainable breeding strategies and breed conservation measures. As a result, a number of activities aimed at the characterization of the major livestock genetic resources have been carried out by different governmental and non-governmental organizations [8]. This review paper provides an overview of the current state of knowledge on characterization of sheep genetic resources, production system, existing breed management, traditional
breeding practice and identification of important traits of indigenous sheep breeds in Ethiopia. Finally, gaps in current knowledge are identified, and priorities for future research are proposed.

2) Literature Review

2.1) Sheep Genetic Resources of Ethiopia

Ethiopia is one of the countries in the world, which has contributed richly to the global livestock gene pool. Estimates indicated that about 99.9% of the total sheep population in Ethiopia is indigenous breeds [1]. According to [9] and [10] Ethiopian sheep are classified into 14 traditional sheep populations (Farta, Menz, Sekota, Simien, Tikur, Wollo, Afar, Black Head Somali, Adilo, Arsi Bale, Horro, Bonga, Gumz and Washera), which fall into four breed groups and nine breeds based on diversity analysis using microsatellite markers and morphological diversity. Exotic breeds represent a very insignificant proportion of the national flock and some of the exotic sheep breeds that have been introduced into the country include: Dorper, Merino, Romney, Corriedale, Hampshire, Awassi, and Blue de Main. Only very few of these or their crosses are seen either in rural or urban areas. Some were limited to research stations while others have been and are still being distributed to farmers [11, 12]. [11] phenotypically characterized local sheep population of Amhara National Regional State of Ethiopia into five distinct major groups, namely, the Central Highland sheep (Farta, Tikur, Wollo, Menz and Simien sheep population), the North Western Highland sheep (Dangila, Washera and Wegera sheep populations), the Rift Valley (Afar) sheep, the Abergelle sheep, and the Gumz sheep in the north western lowland of the country. This diversity is relevant in terms of specific adaptation to the various agro-ecological zones where the breeds exist, for instance attributes like heat tolerance, disease resistance and drought tolerance. These characters help the breeds to survive and produce under prevailing environmental challenges of the different agro-ecological zones of the country. Despite presence of sizeable amount of information to describe the sheep types in Ethiopia, there is still gap to be filled in terms of comprehensive characterization of the sheep population at district level as an input to prioritize for conservation and development of breeding program towards sustainable utilization [13,14,15]. The gaps which require comprehensive characterization like in specific areas which have production system and production environment change in areas in which the current climate change affect the adaptation of certain breeds which initiate the community to cross with other adaptable breed in the neighbouring areas. This will be used as an input to prioritize for conservation and development of breeding program towards sustainable utilization. The importance of genetic diversity has not been given adequate attention for the sustainable utilization of various breed by different stakeholders including the community in the past and even at present. There is limited location specific information on components of characterization including description of the production system and environment, performance levels of the breeds, and farmers breeding practices and objectives and the threat status of indigenous sheep population in the country [13].

The loss of genetic variation within and between breeds is detrimental not only from the perspectives of adaptability but also for utility since lost genes may be of future economic interest. The reasons for loss of genetic diversity within and between breed are many, including the low productivity of the local breeds, neglect the potential of good indigenous breeds, lack of well-defined breeding objectives, inappropriate development policies and management strategies, disease outbreaks, various types of disasters and emergencies [16]. Therefore, research and development on conservation of sheep genetic resources in developing countries and particularly in Ethiopia is the most crucial and urgently needed [14]. Thus, location specific characterization on the production system, farmers breeding practices, identification of breeding objective traits in participatory manner and performance evaluation of the breeds should be conducted. There is also a need to verify the threat status of endangered livestock breeds and take measures to conserve them. The information generated might be required to design sustainable conservation-based utilization programs.
2.2) Characterization of Sheep Production Systems

Description of the production system is particularly relevant in developing regions where farm animals are kept under diverse production systems and for multiple uses. Sheep production systems in Ethiopia are predominantly traditional [2, 17]. According to the degree of integration with crop production, level of input, agro-ecology, relation to land and type of commodity to be produced and mobility, the traditional production system can be subdivided into five systems [10]. These are subalpine sheep–cereal system, highland cereal–livestock system, highland perennial crop system, lowland crop–livestock system or agro-pastoral system and pastoral production system. A brief account of these systems as indicated in the literatures is discussed below.

2.2.1) The subalpine sheep–cereal system:

This system is found in the highland areas (above 3000 m.a.s.l.) of Ethiopia where the major crops grown are barley and pulses such as faba beans and lentils. This system generally depends on grazing wasteland pastures, stubble and sometimes supplemented with straw. Sheep are the dominant livestock species and reared mainly for source of income, meat, manure, skin and coarse wool [18, 19, 20]. Under this system livestock assumes a major commodity where crop production is unreliable [2, 17, 18]. In the subalpine system, numbers of sheep in a flock are larger, typically comprising 10-30 animals [2].

2.2.2) Highland cereal–livestock system:

The system covers an area with an altitude between 2000 to 3000 m.a.s.l. and characterized by adequate rainfall and moderate temperature which is suitable for cereal production. In the highland areas the climate is generally temperate and comparatively favorable for both crop and livestock production [21]. In this system, sheep production is found in association with the different agricultural production systems which vary in potentials, intensity of the mixed farming operation and natural resources including grazing and livestock resources [22]. According to [23] there is a high integration of crops and livestock farming in this area, and sheep are kept in small flocks and provide cash income, meat, manure and skins.

2.2.3) Highland perennial crop system:

This system is common in the Highlands with an altitude range of 1500 to 2000 m.a.s.l. In this farming system, livestock holdings are small, the topography is uneven and inter-cropping is practiced [24]. Under this system livestock production is of minor importance and is characterized by cultivation of a combination of cash and subsistence crops such as inset and fruit, khat, coffee and tea [25]. The integration of crop-livestock mixed farming is lower in the perennial system. Sheep are kept by smallholders, graze and rear together with goat and/or other livestock species such as cattle [24].

2.2.4) Lowland crop–livestock system or agro-pastoral system:

This system found in the submoist/moist lowland areas (≤ 1000 m.a.s.l.) with higher rainfall to support short season crops (cereals, sesame and cotton) compared to the pastoral system [18]. It is characterized by less integration with crop production [18]. This production system is associated with the purely livestock based nomadic and transhumance pastoral production systems dependent largely on range, primarily using natural vegetation. In the lowlands of Ethiopia, livestock is comprised of large flocks and herds of sheep, goats, cattle and camels and it is mainly transhumants. Extensive livestock keeping is the backbone of the economies of this system [26].

3.5) Pastoral production system:

This system is located in the arid and semi-arid lowland areas (≤ 1000 m.a.s.l.) where livestock rearing is the mainstay of people [2]. It is characterized by high mobility in search of grazing and water. In this system, extensive livestock production is mostly the sole source of livelihood with little or no cropping [17]. Livestock, including small ruminant production is associated with the purely
livestock based nomadic and transhumance pastoral production systems based largely on range, primarily using natural vegetation. The variability of rainfall is the greatest threat to crop production. Sheep are kept by nearly all pastoralists, often in mixed flocks with goat [10,18].

2.3) Definition of Breeding Objectives

Defining breeding objectives involves identifying breeding-objective traits, deriving their relative importance, and constructing the aggregate genotype that can subsequently be translated into a selection index [10, 27]. Definition of breeding objectives traits is the basis for designing appropriate animals breeding programs [28,29,30]. In smallholder and pastoral communities, breeding goals are multi-functional incorporating both tangible and intangible benefits of sheep keeping. Therefore, when defining animal breeding objectives in low input systems, the needs and interests of the target group should be incorporate to increase the success of breed improvement programs [27, 18]. Lack of participation of farmers in defining the breeding objective was the main reason for failure of many livestock improvement programs in the tropics [30].

Participatory approaches of defining breeding objectives have been described by a number of studies [17, 18, 24, 31]. Such approaches have been used for mixed crop-livestock and pastoral systems for definition of breeding objectives in goats [32] and [33] and in sheep. Participatory approaches have also been used in determining objectives of keeping dairy goats and for studies of farming systems for small ruminants in Kenya [27]. [29] recommended five alternative approaches (direct ranking, workshops, choice cards, own and group flock ranking) to identify the breeding objectives and the breeding objective traits in pastoral and smallholder subsistence system. Direct ranking using structured interviews with the sheep owners have often been used when identifying traits of importance for a breeding programme [34]. After listing of preferred traits, there should be a score assigning for each of the traits or trait categories. Ranking of animals from own flock implies grading of own animals based on reproduction and production performances. The sheep owners are asked to rank their own animals (female and males) from the 1st (best), 2nd (second best) and 3rd (poorest), and should indicate reasons for their ranking [28, 29]. After receiving detailed information from the owners, body weight and other linear body measurements are taken on each individual ranked animal. [29] also suggested that a combination of methods can be used to extract breeding objectives of the community. The information obtained through these methods can be used to design village-based selection breeding programs.

The diverse traits (body size, color, milk yield, lamb survival, twinning, walk ability, lambing interval and longevity) as the selection criteria during direct-ranking for breeding animals are well documented in many research reports [18,24, 29, 32, 33]. According to [32,33] and [35] productive and reproductive traits were frequently mentioned during the own flock-rankings for breeding animals. Moreover, [36] also argued in favour of including reproductive traits not only based on economics of production, but also because of its influence in genetic improvement through selection intensity. [29,31,37] reported that choice experiments are important for identifying selection criteria in subsistence production systems and evaluated cattle and sheep trait preferences of pastoralists and smallholder farmers in Ethiopia. Previous breeding objective traits identification studies [33,38] indicated that body size, body conformation, twinning, color and beauty related traits were considered as important traits of preference for breeding animals during group animal ranking experiments.

2.4) Genetic Improvement and Conservation Strategies

Livestock productivity can be increased through genetic improvement. Strategies for genetic improvement of livestock mainly involve the decision on the use of genetic variation within a breed (selective breeding) or between breeds (crossbreeding) [16,39]. The sheep breeding strategies adopted in Ethiopia over the last several decades largely focused on importing exotic breeds for cross-breeding [2, 14, 15]. However, study of Solomon and [18] indicated that the impact of decades of this program is negligible.
This has been due to poor involvement of stakeholders and implementing livestock improvement programs without taking into consideration the needs of farmers [2, 29, 33]. The distribution of the improved genotypes of these programs was indiscriminate and unplanned, resulting in failure of the breeding programs and threatened to dilute the sheep genetic diversity in the country [13]. There are also well documented examples of unsuccessful projects using exotic breeds [40] in developing countries. Therefore, appropriate conservation-based breeding strategies are needed to avoid indiscriminate crossbreeding as well as to improve sheep production and productivity of the locally adapted breeds like Gumz sheep. [39] suggested that in order to increase livestock productivity in developing countries like Ethiopia, diversity within indigenous breeds should be efficiently exploited. Open nucleus schemes and community/village-breeding programs are being increasingly advocated for traditional production systems [36]. Although the indigenous livestock genetic resources of Ethiopia have high within breed genetic variations [10] and desirable characteristics, there has been little effort to improve the genetic merits of the local livestock genetic resources using the within breed genetic variation. However, in Ethiopia, like in the other parts of the developing countries small flock sizes, lack of animal identification, lack of performance and pedigree recording, low level of literacy and organizational shortcomings and flock mobility have been major constraints that hinders within breed selection [2, 12]. To overcome these problems, nucleus breeding schemes have been suggested as tools for small ruminant genetic improvement programs in tropical countries [2, 41]. The scheme could be utilised for conservation of genetic resources through improvement and proper utilization. This scheme can serve for both pure-breeding and crossbreeding, and dissemination of improved genetic materials allowing conservation and improvement of the indigenous sheep breeds. However, implementation of nucleus breeding schemes in low-input environments has proven to be difficult because of requirement of long term commitment of sponsors and involvement of farmers [12, 27]. Village based breeding selection schemes have also been suggested as an alternative to nucleus scheme. Recently, community based breeding strategy has been gained momentum for increasing productivity of indigenous sheep of Ethiopia (14, 29, 33], as a means to improve the livelihood of smallholder farmers as well as to conserve the existing genetic diversity through utilization. Community (village)-based breeding schemes is also a recently suggested viable options for the genetic improvement programs of small ruminants in low-input, smallholder production systems in the tropics [27, 30].

2.4.1) Central Nucleus Breeding Schemes

Livestock production in developing regions is generally characterized by small flock size, uncontrolled mating, and the absence of pedigree and performance recording [2, 12]. These characteristics limited the implementation of effective genetic improvement programs. To overcome these problems, nucleus breeding schemes have been suggested for tropical countries, in which genetic improvement is centrally organized in a population maintained in research institutes or government farms. The open nucleus breeding scheme offers a simple procedure for producing and disseminating breeding stock of breeding value. Based on breeding values for the breeding goal traits, ewes born to superior rams would be selected and bought for transfer to nucleus flock. Nucleus flocks will be set-up in the governmental breeding ranches. Subsequent genetic evaluations and selection for superior rams would be undertaken in the nucleus flocks where animals from different sources are evaluated in the same environment. Dissemination of superior rams to participating farmers will be made on cost-recovery basis. Several studies indicated the significance of using open nucleus breeding scheme to increase the rate of genetic gain [39, 42]. [2] has also been presented a plan for the indigenous Horro sheep open-nucleus breeding scheme in Ethiopia. This scheme could be utilised for conservation of genetic resources (including breeds, desirable genes, genotypes, etc) through improvement and proper utilization [2, 39].This scheme can serve for both pure-breeding and crossbreeding and dissemination of improved genetic materials allowing conservation and improvement of the indigenous sheep breeds. On-station breed evaluation work of some well-known sheep types has been undertaken. These include the EARI evaluation program on Afar, BHS, Menz and Horro sheep. Major emphasis in all of these
studies was on meat production and wool (Menz sheep) production. Results in a nucleus flock of Menz sheep indicate that genetic progress of 0.29 kg [43] and 0.34 kg [44] in a six month weight was achieved. Selection in the Horro sheep nucleus flock did not result in appreciable genetic improvement and the breeding program shows no progress [45, 46]. Whereas results of selection in the nucleus flocks of Afar and BHS sheep remain unreported.

2.4.2) Village based Breeding Schemes

A community-based breeding program refers to village-based breeding activities planned, designed, and implemented by smallholder farmers, individually or cooperatively, to effect genetic improvement in their flocks and conserve indigenous genetic resources (Sölkner et al., 1998). The design of these programmes considers description of the production system, definition of the breeding objective traits of the farmer and assessment of alternative schemes [41, 47, 48, 49]. [13,51] showed that this new community-based or village centered approach in animal breeding can be used for smallholder system as this ensures the full participation of the farmers and is more sustainable in the long term as it is less dependent from external inputs. This program is also intended to overcome the problems of genotype–environment interaction, avoid the genetic lag between nucleus and village populations, and are appropriate for in situ conservation of indigenous animal genetic resources [13]. Village based breeding scheme had been tried in a number of areas (for example, on Menz sheep in Debre Berhan and Mehal Meda area, Horro sheep in Shambu areas, Afar sheep in Afar area and Bonga sheep in Bonga area) and has been found successful in improving the growth performance of the sheep [29,33]. For instance, body weights of Menz sheep at birth, 3 and 6 months of age increased by 0.42, 2.29 and 2.46 kg, respectively, in the third generation over the base generations. However, genetic progresses in lamb survival and litter size were low on the local Menz sheep breeds [33].

3. Conclusion

It is clear that information in production systems, breeding objective traits and improvement breeding programs from numerous research projects have been reviewed. Currently available information could help decision-makers formulate in better way sustainable development programs for conservation and utilization of sheep genetic resources. While inventory of species and breeds, their population sizes and geographic distribution for the management of animal genetic resources remains poor. There are also gaps in research and development efforts. Thus, the next step should focus on designing of breeding strategies suitable for smallholder production system for sustainable management of diversity among promising and widely used local breeds.

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